



EV407559458US

Express Mail Label No.

Attorney Docket No. HTFD-13B-JRC

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of: James J. Mallozzi

Serial No.:10/701,945

Group Art Unit: 3624

Filed: October 4, 2003

Examiner: Unassigned

For: Financial System that Provides Investment Funds and A Death Benefit

Notice to File Corrected Application Papers

Mail Stop Missing Parts
Commissioner For Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir/Madam:

In accordance with the enclosed copy of the subject notice, and in accordance with 37 C.F.R. 1.52, 1.121 (b)(3) and 1.125, Applicant herewith submits a substitute specification, claims and abstract in 1 1/2 spaced format.

IN THE SPECIFICATION

The specific changes made are shown on the attached "Version showing changes." Entry of the substitute specification is requested. Also submitted is a clean copy in which the changes to the specification as shown on the "Version showing changes" have been entered.

IN THE CLAIMS

No changes to the claims have been made except to change spacing to 1 1/2 format. The list of claims follows: